

RISK MANEGEMENT POLICY

ADOPTED BY

**MAJORTREND CAPITAL PRIVATE
LIMITED**

**(Earlier know as Majortrend Shares &
Stock Brokers Pvt. Ltd.)**

MEMBER – NSE /B S E CASH & FNO




Risk Based Approach:-

Classification both the new and existing clients into high, medium or low risk category depending on parameters such as the customers backgrounds, type of business relation sheep, transaction etc. Application of each of the customers due diligence measures on risk sensitive basis and adoption of enhanced customer due diligence process for high risk categories of customers and vice-a-versa.

Limit Settings:-

Limits shall be mentioned on daily basis, taking following criteria's: Turnover, Exposures, past trends, Location, Deposit/Collateral.

Margins:-

Client Level margin will be at management discretion in cash segment.

Criteria to collect, margin will be on than basis of volume of client and brokerage earned from clients.

Same client should not figure in default list in more than 5 days in a month.

Trading:-

Trading in illiquid scrip's shall not be permitted. On the detection of such trading, the risk manager shall use his discretion to shutdown the terminal.

 

Pay-in Of Fund & Stock:-

Third party pay-in of securities & fund will not be accepted. Same way pay out of shares and funds will be directly done to client account only. No securities belonging to one client be used/transferred for own purpose or for other client.

Collections:-

Cash will not be accepted under any circumstances- if a cheque is bounced we will require a demand draft for the same amount from the client immediately.

Collections of cheques from clients must be done by T+2 days except clients who have authorized us to have running account.

FOR MAJORTREND CAPITAL PRIVATE LIMITED


Director



1. Madhusudan I Dalal

2. Kushal M. Dalal

3. Shardul M. Dalal